

**Letter of Intent to Establish a Subcontract (NASA)**

The proposed Subrecipient offers the following information and intends to enter good faith negotiations toward a subaward with the *University of Utah* after a National Aeronautics and Space Administration (NASA) award is made for the following proposal:

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| **Proposal Information** | |
| Prime Sponsor: | National Aeronautics and Space Administration (NASA) |
| Announcement No.: |  |
| University of Utah PI: |  |
| UU DSS number: |  |
| Proposal Title: |  |
| Project Dates/POP: |  |

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| **Subrecipient Information** | |
| Organization Name: |  |
| Registered with NSPIRES? | Yes/No(if No, subrecipient will need to be registered with NSPIRES prior to submission deadline to be included in proposal) |
| Is Subrecipient a U.S. state/federal entity or university? | Yes/No (If No, restricted party screening required) |
| **Performance Site** | |
| Address: |  |
| City/State/Zip (9 Digits): |  |
| **Country:** | Required |
| **UEI:** | Required |
| DUNS: |  |
| EIN: |  |
| Congressional District: |  |
| Cognizant Agency: |  |
| **Subrecipient PI** | |
| Name / Title: |  |
| Phone / Email: |  |
| Is PI a non-U.S. person: | Yes/No (If Yes, restricted party screening required) |
| Subsite PI, Co-Is, and Collaborators have active NSPIRES Registration: | Yes/No (All team members will need to be individually registered in NSPIRES) |
| **Administrative Contact** | |
| Name / Title: |  |
| Phone / Email: |  |
| **Assurances & Certifications (state whether this proposal includes any of the items listed below)** | |
| Disclosure of Lobbying Activities: | Yes/No |
| Proprietary or Privileged Information: | Yes/No |
| Historic Site/Object Impact: | Yes/No |
| International Collaboration: | Yes/No (if Yes, please provide country/location, names, and personal identifiers (if applicable) for restricted party screening/s) |
| Potential adverse environmental effects? | Yes/No ([See GCAM 2.21.1 Environmental Impact](https://www.nasa.gov/wp-content/uploads/2023/09/2023-nasa-proposers-guide-final.pdf)) |

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| **Attachments** (Required in NASA format) | | | |
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| Statement of Work: | Required | |
| Facilities & Equipment: | Required | |
| Biographical Sketch/s: | Required | |
| Other Personnel Bio Info: |  | |
| Current & Pending Support: | Required   PIs and Co-PIs must list any current and pending support with China, including Chinese universities and other similar institutions or a Chinese-owned company at the prime recipient level and at all subrecipient levels, whether bilateral involvement is funded or performed under a no-exchange of funds arrangement. | |
| Table of Personnel and Work Effort: | Required | |
| Data Management Plan: |  | |
| Budget Narrative: | Required | |
| Budget Details: | Required (highlight if cumulative total $500k+) | |
| F&A Rate Agreement: |  | |
| IRS Form W-8 BEN | Required, if foreign subrecipient | |

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| **Proposed Budget** | | | |
|  | Initial Year | Entire Project Period |  |
| Direct Costs: |  |  |
| Indirect Costs: |  |  |
| Total Costs: |  |  |
| Cost sharing commitments: |  |  |  |

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| **Special Restrictions** |

We confirm that this proposal in no way involves bilateral participation, collaboration, or coordination with China or any Chinese-owned company, whether funded or performed under a no-exchange-of-funds basis. In accordance with China restrictions set forth in section 526 of PL 117-103, and all applicable subsequent appropriations acts, NASA is prohibited from funding any work that involves the bilateral participation, collaboration, or coordination with China or any Chinese-owned company or entity, at the prime recipient level or at any subrecipient level, whether funded or performed under a no-exchange-of-funds basis. Accordingly, proposals shall not include bilateral participation, collaboration, or coordination with China or any Chinese-owned company or entity, whether funded or performed under a no-exchange-of-funds basis.

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| **Certifications** |

We confirm the above information and statements made in the proposal documents and any attachments are true, complete, and approved. We acknowledge that willful provision of false information in this proposal and/or its supporting documents, or in reports required under an ensuing award is a criminal offense (U.S. Code, Title 18, Section 1001).

We confirm compliance with all provisions, rules, and stipulations set forth in this funding opportunity/solicitation.

We agree to comply with NASA award terms and conditions, if an award is made as a result of this proposal including, but not limited to, the most recent NASA Grant & Cooperative Agreement Manual (GCAM).

We have an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F “Responsibility of Applicants for Promoting Objectivity in Research”.

We have a current A-133 audit.

The PI and all other employees or students participating in this project have not been debarred, suspended, or otherwise excluded from or ineligible for participation in federal assistance programs or activities.

All senior/key personnel participating in this project, as well as subrecipient collaborators, are not party to a malign foreign talent recruitment program.

The PI or co-PI participating in this project have not had findings or determinations or sexual harassment or sexual assault or are not currently under investigation for sexual harassment or sexual assault.

We accept, and have policies and procedures in place, to fulfill the requirements outlined in NASA [Notice 20-029](https://www.federalregister.gov/documents/2020/03/10/2020-04815/reporting-requirements-regarding-findings-of-harassment-sexual-harassment-other-forms-of-harassment) Reporting Requirements Regarding Findings of Harassment, Sexual Harassment, Other Forms of Harassment, or Sexual Assault

**Additional Foreign Entity Certification (if applicable)**

As a foreign entity, we certify the following:

If awarded, we will be required to provide the University of Utah with copies of *or electronic access* *to* all lab notebooks, all data, and all documentation that supports the research outcomes as described in progress reports. These supporting materials must be provided to the University of Utah with each scientific update in line with the timelines outlined in the subaward (no less than once each year).

We certify that neither we, nor any contractor, subcontractor, or lower tier subrecipient, or agent of a contractor, subcontractor, or lower tier subrecipient is engaged in any trafficking in persons activities as described in [Part 175](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-I/part-175#p-175.105(a)). If funding exceeds $500k, or as required, we will develop a written compliance plan to combat trafficking in persons that fulfills this requirement.

An IRS form [W-8BEN](https://www.irs.gov/pub/irs-pdf/fw8ben.pdf), signed within the last 12 months, is attached.

If awarded, unless exempt under [2 CFR § 25.110](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-I/part-25/subpart-A/section-25.110), we agree to maintain a current and active registration in [SAM.gov](https://sam.gov/content/home).

I certify to the best of my knowledge and belief that the information provided herein is true, complete, and accurate. I am aware that the provision of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Sections 3729-3730 and 3801-3812. We are prepared to establish the necessary subrecipient agreement consistent with NASA policies:

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Signature of Subrecipient’s Authorized Signing Official Date

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| **Authorized Signing Official** | |
| Name / Title: |  |
| Address: |  |
| Address/Territory: |  |
| City/State/Zip (9 Digits): |  |
| Country: |  |
| Phone / Email: |  |