***[Instructions: Header is optional and may be removed when submitting as part of a proposal to NSF. Fill in top table and any items marked in blue, removing blue instructions that are in brackets.*** [***Supplementary Document***](https://osp.utah.edu/grants-toolbox/nsf/nsf-safe-and-inclusive-environments-directorates.php) ***is limited to 2 pages, Times New Roman 11 font and 1-inch margins. If using for internal UU purposes only, formatting restrictions and page limits do not apply.]***

|  |
| --- |
| **Project PI:** |
| **PI Phone Number:** | **PI Email:** |
| **Project Title:** |
| **Brief description of field setting and unique challenges of the team:** |
| **Estimated Departure Date:** | **Estimated Return Date:** |
| **Third Party Partners at Off-campus/Off-site Location**:*[Third party partners are partners not affiliated with UU as an employee or student who is present in the off-campus/off-site research working environment.]* |

**Nurturing an Inclusive Off-campus or Off-site Working Environment (Required)**

*As a baseline, UU requires all faculty, staff, and students to complete required* [*Sexual Harassment Prevention training*](https://osp.utah.edu/training/harassment-prevention/index.php)*.*

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| *[For this project an inclusive off-campus or off-site working environment may also be nurtured through the following activities: 1) Trainings; 2) Processes to establish shared team definitions of roles and responsibilities; and/or 3) Culture, e.g., codes of conduct; and field support, such as mentor/mentee support mechanisms, regular check-ins, and/or developmental events. Detail here.]* |

**Communications (Required)**

*Individuals participating in the off-campus or off-site research will have access to the following communications pathways and singular points of communication will be minimized as follows (****select option(s)****)*

* Participants will have personal phones and/or computer and regular internet or cell service; AND/OR
* Other (provide detail):

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| *[Singular points of communication should be minimized. This plan should never have a single person overseeing access to a single satellite phone.]* |

**Abuse of Any Person and Other Conduct (Required)**

All participating individuals will be provided the following information:

* In the case of immediate safety concerns, local law enforcement will be notified promptly.

 *Contact Information: UU Police at 801-585-2677 or 911 for emergencies*

* All UU employees and students may access the [UU reporting avenues and support services](https://osp.utah.edu/grants-toolbox/nsf/nsf-safe-and-inclusive-environments.php#policies)
* Concerns may be anonymously reported to [safe.utah.edu](https://safeu.utah.edu/)
* NSF’s contact information, which is an option but not a requirement, for reporting “abuse of any person” that they are subjected to, have witnessed, or became aware of involving an NSF-funded program or activity, in addition to reporting as stated in the tables below. *Contact information: NSF Office of Equity and Civil Rights (OECR) at* *programcomplaints@nsf.gov**.*

**The following behaviors will also be addressed as described below:**

1. **Harassment, Stalking, Sexual Misconduct, Violations of Discrimination Policy**

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| --- | --- | --- |
| **Process or method for making incident reports** | **How reports are received** | **Resolution of reports** |
| Reports will be made to the PI, who makes reports to UU Office of Equal Opportunity, Affirmative Action, and Title IX.Should reports need to be made to someone other than the PI, individuals may report directly to OEO. Incident reports can be made by any individual, regardless of affiliation to UU or the project. | Report to PI in person, phone call or email. All reports are sent to OEO:Online Reporting:<https://oeo.utah.edu/>Sherrie Hayashi, DirectorPhone: (801) 581-8365Email: oeo@utah.edu | [Rule 1-012: Discrimination Complaint Rule](https://regulations.utah.edu/general/rules/R1-012.php)*[Describe steps for resolution]*OEO will reach out to each person re: their claim/complaint. OEO will make an assessment which may result in an investigation. OEO will assess risk and evaluate the urgency and need to remedy the situation. What remedy/response is needed will be case-by-case. |

1. **Bullying, Hazing and Other Unwelcome, Offensive, Indecent, Obscene, or Disorderly Conduct (not covered above)**

|  |  |  |
| --- | --- | --- |
| Reports will be made to the PI.Should reports need to be made to someone other than the PI, individuals can report directly to the UU or NSF (as outlined above) | Report to PI in person, phone call or email. PI (or others) should report information as detailed here.  | *[Describe steps for* *resolution]*The responding office reviews complaints and makes an evaluation. Responses are determined on a case-by-case basis. |

**Special Considerations (Required if Third Party Partners Involved)** *[If not applicable, this section can be removed to help conform to the 2 page limit]*

*Third Party Partners:* If third party partners are present in the off-campus or off-site working environment, they:

* Will follow their entities related codes of conduct and reporting structures;
* May report incidences to the PI as listed above;
* May report incidences when a UU partner, staff member, student employee, or faculty member is alleged to have engaged in behavior in violation of [UU policy](https://osp.utah.edu/grants-toolbox/nsf/nsf-safe-and-inclusive-environments.php#policies) and to NSF’s OECR at programcomplaints@nsf.gov.

*Other:*

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| *[Detail Special circumstances that necessitate special plans (e.g., participants are at sea without ability to make contact with land; local transportation to a safe space is not available; variance in cultural norms might necessitate advance awareness training)]* |

**Plan Dissemination (Required)**

*The plan will be disseminated to individuals participating in the off-campus or off-site research prior to departure as follows.*

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| *[Detail who the plan will be disseminated to (include subrecipient or collaborative individuals participating in off-campus/off-site research), method and timeline for dissemination.* *The disseminated plan must include active links.]* |

**PI Certification (Required)**

*As PI of subject project, I understand that it is my responsibility to implement this plan and to uphold the University of Utah’s* [*related policies*](https://osp.utah.edu/grants-toolbox/nsf/nsf-safe-and-inclusive-environments.php#policies)*. Should reports need to be made to the NSF according to their* [*reporting requirements*](https://www.nsf.gov/od/oecr/harassment.jsp)*, this plan may be used as part of NSF’s investigation and decision to continue funding of this project.*

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| PI Name |
| PI Signature  | Date |