



OFFICE OF SPONSORED PROJECTS

DATE: September 21, 1999

TO: J. Bernard Machen
FROM: Richard Koehn

SUBJECT: 4-Part Monthly Activity Report

Part I of V--RESEARCH ACTIVITY SUMMARY

	AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (07/99-08/99)		FISCAL YEAR TO DATE (7/98-08/98)	
	No.	Total Amt.	No.	Total Amt.	No.	Total Amt.	No.	Total Amt.
PROPOSALS	79	\$ 25,728,345	77	\$ 13,029,376	197	\$ 83,196,618	166	\$ 57,712,114
AWARDS								
Research	122	\$14,379,327	168	\$29,250,249	313	\$58,359,444	297	\$40,010,104
Other	27	2,906,986	23	1,978,597	47	4,987,387	48	3,740,716
Instruction	33	1,824,416	19	960,385	56	3,595,027	31	1,707,822
Clinical	11	580,162	9	\$687,155.00	23	1,808,241	18	\$1,416,654.00
SUBTOTALS	193	19,690,891	219	32,876,386	439	68,750,099	394	46,875,296
Fellowships & Financial Aids		101,016		332,176		379,038		332,176
ALL AWARD TOTALS		\$ 19,791,907		\$ 33,208,562		\$ 68,750,099		\$ 46,875,296

Richard K. Koehn
Vice-President for Research

- cc: David W. Pershing
- Arnold B. Combe
- Fred Esplin
- A. Lorris Betz
- Raymond A. Haeckel
- Ronald E. Tremea
- W. Ralph Hardy
- Lynne U. Chronister
- J. Michael Mattsson
- Pamela W. Fogle
- Ronald J. Pugmire

* As of May 1, 1998, proposals amounts are based exclusively on "New" and "Competing Continuation" proposals, and also reflect the proposed amount for each project for the entire budgetary period to be funded. Please note that proposal amounts on reports prior to May 1998 included "Supplemental" and "Non-Competing Continuations" as well, and reflected only first-period budgetary amounts.
UPDATE: As of December, 1998, "Supplemental" proposals will be included in all proposal totals.

OFFICE OF THE VICE PRESIDENT FOR RESEARCH
201 S PRESIDENTS CIRCLE RM 210
SALT LAKE CITY, UTAH 84112-9011

(801) 581-7236
FAX (801) 585-6212

VICE-PRESIDENT FOR RESEARCH
AUGUST 1999
Part II of V--PROPOSALS OVER \$2 MILLION

DATE: September 22, 1999

	<i>Department</i>	<i>Sponsor Name</i>	<i>Proposal Title</i>	<i>Start Date</i>	<i>End Date</i>	<i>Total Amount</i>
<i>Engineering</i>						
Smith, Phillip J.	Chem & Fuels Engineering	Phillips Petroleum	Optimized Ethylene Furnance Operations	December 1, 1999	November 30, 2004	\$7,798,042
<i>Medicine</i>						
Bamshad, Michael J.	Human Genetics	Public Health Service	Genetic Determinants of Cardiovascular Malformations	July 1, 2000	June 30, 2005	\$2,505,502

VICE-PRESIDENT FOR RESEARCH
AUGUST, 1999
Part III of V--AWARDS BY SPONSOR

DATE: September 22, 1999

	AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
ALL FEDERAL AGENCIES	87	\$ 12,783,323	94	\$ 11,495,526	183	\$ 26,341,043	187	\$ 21,623,890
<i>Misc. Federal Agencies</i>	0	-	1	6,500	2	300,927	1	6,500
Nat'l Aeronaut/Space Adm	2	44,000	1	296,987	4	110,290	4	537,746
Nat'l Endowmt/Humanities	0	-	0	-	0	-	0	-
Nat'l Science Foundation	20	1,876,319	18	1,200,088	38	4,199,836	34	2,802,051
US Dept of Agriculture	1	385,500	1	5,000	2	388,469	1	5,000
US Dept of Commerce	1	52,500	0	-	3	155,081	4	223,000
US Dept of Defense	0	-	3	193,504	3	127,491	7	454,030
US Dept of Education	3	570,281	1	126,000	4	666,908	5	500,279
US Dept of Energy	10	815,661	9	1,426,591	15	1,105,790	23	2,126,591
US Dept of the Interior	3	42,000	1	4,000	4	75,000	2	34,422
US Dept of Transportation	0	-	0	-	0	-	0	-
US Environmental Prot Agcy	0	-	1	65,000	0	-	1	65,000
US Health & Human Servs	47	8,997,062	58	8,171,856	108	19,211,251	105	14,869,271
US Veterans Admin	0	-	0	-	0	-	0	-
FEDERAL FLOW-DOWN	31	2,256,137	20	524,642	56	3,560,560	48	1,231,524
STATE OF UTAH	22	1,065,337	11	586,973	37	1,544,512	25	1,620,400
OTHER GOVT. (NON-FED.)	4	619,318	3	73,300	8	1,804,248	7	124,208
PRIVATE SECTOR	49	2,966,776	91	20,195,945	155	35,499,736	127	22,275,274
Commercial Sponsors	24	1,073,858	30	1,242,854	47	2,782,651	56	2,519,090
Not-For-Profit Sponsors	25	1,892,918	61	18,953,091	108	32,717,085	71	19,756,184
GRAND TOTALS	193	\$ 19,690,891	219	\$ 32,876,386	439	\$ 68,750,099	394	\$ 46,875,296

VICE-PRESIDENT FOR RESEARCH
AUGUST, 1999
Part IV of V--AWARDS BY COLLEGE

DATE: September 22, 1999

	AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
ARCHITECTURE	0	-	0	-	0	-	0	-
BUSINESS	9	125,000	0	-	10	158,291	0	-
EDUCATION	1	149,831	3	270,961	2	169,831	6	475,468
ENGINEERING	28	2,637,178	35	634,623	54	4,209,124	88	3,898,891
FINE ARTS	0	-	0	-	0	-	0	-
GRADUATE SCHOOL	0	-	0	-	0	-	0	-
HEALTH	1	23,842	4	223,497	4	247,098	9	597,023
HUMANITIES	2	192,960	0	-	3	195,960	1	169,772
LAW	0	-	0	-	0	-	0	-
MEDICINE	79	8,378,701	86	8,280,666	149	16,873,924	152	14,394,917
MINES & EARTH SCIENCES	11	434,248	10	541,461	27	1,967,477	22	1,134,170
NURSING	1	114,691	0	-	3	282,009	1	311,636
PHARMACY	9	2,401,005	10	1,489,318	23	4,075,434	21	3,067,971
SCIENCE	21	2,502,405	26	2,292,853	48	6,664,725	38	3,113,802
SOCIAL & BEHAVIORAL SCIENCES	10	494,719	7	477,663	15	763,791	12	562,822
SOCIAL WORK	3	694,259	3	241,929	8	864,417	4	265,914
VP/ACADEMIC AFFAIRS	5	323,775	1	4,000	8	806,013	1	4,000
VP/ADMINISTRATIVE SERVICES	0	-	0	-	0	-	0	-
VP/HEALTH SCIENCES	10	656,536	31	18,401,396	81	30,173,972	34	18,850,556
VP/RESEARCH	0	-	2	13,019	0	-	4	23,354
VP/STUDENT AFFAIRS & SERVICES	2	237,990	1	5,000	2	237,990	1	5,000
VP/UNIVERSITY RELATIONS	1	323,751	0	-	2	1,060,043	0	-
GRAND TOTALS	193	19,690,891	219	32,876,386	439	68,750,099	394	46,875,296

VICE-PRESIDENT FOR RESEARCH
AUGUST, 1999
Part V of V--GENERAL COLLEGE ACTIVITY

DATE: September 22, 1999

		AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
		<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
ARCHITECTURE									
Proposals**		0	-	0	-	0	-	0	-
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	0	-	0	-	0	-	0	-
BUSINESS									
Proposals**		1	25,000	1	31,360	1	25,000	1	31,360
Awards	Research	1	25,000	0	-	2	58,291	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	8	100,000	0	-	8	100,000	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	9	125,000	0	-	10	158,291	0	-
EDUCATION									
Proposals**		1	299,970	1	167,920	2	467,970	3	806,512
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	2	240,961	0	-	2	240,961
	Instruction	1	149,831	1	30,000	2	169,831	4	234,507
	Clinical	0	-	0	-	0	-	0	-
	Totals	1	149,831	3	270,961	2	169,831	6	475,468
ENGINEERING									
Proposals**		20	10,945,918	11	708,261	42	14,991,048	40	20,757,727
Awards	Research	25	2,544,759	0	-	44	4,014,129	80	4,115,431
	Other	3	92,419	4	(264,678)	7	135,419	7	(227,790)
	Instruction	0	-	31	899,301	3	59,576	1	11,250
	Clinical	0	-	0	-	0	-	0	-
	Totals	28	2,637,178	35	634,623	54	4,209,124	88	3,898,891

		AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
		<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
FINE ARTS									
Proposals**		0	-	1	29,621	0	-	1	29,621
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	0	-	0	-	0	-	0	-
GRADUATE SCHOOL									
Proposals**		0	-	0	-	0	-	0	-
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	0	-	0	-	0	-	0	-
HEALTH									
Proposals**		0	-	1	880,228	5	597,262	3	909,493
Awards	Research	1	23,842	2	142,188	1	23,842	4	376,212
	Other	0	-	0	-	1	70,280	3	139,502
	Instruction	0	-	1	56,349	2	152,976	1	56,349
	Clinical	0	-	1	24,960	0	-	1	24,960
	Totals	1	23,842	4	223,497	4	247,098	9	597,023
HUMANITIES									
Proposals**		1	3,000	0	-	3	209,987	1	94,775
Awards	Research	0	-	0	-	1	3,000	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	2	192,960	0	-	2	192,960	1	169,772
	Clinical	0	-	0	-	0	-	0	-
	Totals	2	192,960	0	-	3	195,960	1	169,772
LAW									
Proposals**		0	-	0	-	0	-	0	-
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	0	-	0	-	0	-	0	-

		AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
		<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
MEDICINE									
Proposals**		26	9,558,129	35	8,035,569	62	22,396,129	55	13,554,312
Awards	Research	44	5,602,222	61	5,514,287	94	11,832,184	108	10,368,330
	Other	10	1,600,887	8	1,597,595	15	1,758,928	15	2,004,065
	Instruction	15	639,430	9	506,589	21	1,698,350	14	805,913
	Clinical	10	536,162	8	662,195	19	1,584,462	15	1,216,609
	Totals	79	8,378,701	86	8,280,666	149	16,873,924	152	14,394,917
MINES & EARTH SCIENCES									
Proposals**		14	2,337,507	4	724,670	23	3,833,506	10	2,057,229
Awards	Research	8	397,253	9	481,146	20	1,822,826	19	1,025,682
	Other	2	37,000	0	-	3	39,969	2	48,173
	Instruction	1	(5)	1	60,315	4	104,682	1	60,315
	Clinical	0	-	0	-	0	-	0	-
	Totals	11	434,248	10	541,461	27	1,967,477	22	1,134,170
NURSING									
Proposals**		1	208,783	0	-	1	208,783	0	-
Awards	Research	1	114,691	0	-	1	114,691	0	-
	Other	0	-	0	-	1	97,924	1	311,636
	Instruction	0	-	0	-	1	69,394	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	1	114,691	0	-	3	282,009	1	311,636
PHARMACY									
Proposals**		2	217,088	3	449,581	5	1,274,658	4	648,624
Awards	Research	6	1,926,496	9	1,383,024	16	3,446,455	15	2,194,566
	Other	2	430,509	0	-	4	487,700	4	765,977
	Instruction	0	-	1	106,294	0	-	2	107,428
	Clinical	1	44,000	0	-	3	141,279	0	-
	Totals	9	2,401,005	10	1,489,318	23	4,075,434	21	3,067,971
SCIENCE									
Proposals**		4	642,410	8	1,428,090	26	7,324,019	21	4,557,394
Awards	Research	19	2,474,405	22	2,123,356	43	6,171,629	33	2,882,855
	Other	0	-	1	126,000	1	400,000	1	126,000
	Instruction	2	28,000	3	43,497	4	93,096	4	104,947
	Clinical	0	-	0	-	0	-	0	-
	Totals	21	2,502,405	26	2,292,853	48	6,664,725	38	3,113,802

		AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
		<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
SOCIAL & BEHAVIORAL SCIENCES									
Proposals**		2	47,410	5	386,445	7	365,759	12	3,165,762
Awards	Research	5	382,474	2	295,551	7	564,930	5	356,051
	Other	4	90,245	4	129,700	6	127,711	6	154,359
	Instruction	1	22,000	1	52,412	2	71,150	1	52,412
	Clinical	0	-	0	-	0	-	0	-
	Totals	10	494,719	7	477,663	15	763,791	12	562,822
SOCIAL WORK									
Proposals**		0	-	2	68,725	1	678,894	6	981,625
Awards	Research	1	231,049	1	10,000	4	251,395	1	10,000
	Other	0	-	1	132,000	0	-	2	155,985
	Instruction	2	463,210	1	99,929	4	613,022	1	99,929
	Clinical	0	-	0	-	0	-	0	-
	Totals	3	694,259	3	241,929	8	864,417	4	265,914
VP FOR ACADEMIC AFFAIRS*									
Proposals**		5	973,816	1	4,626	10	1,526,714	1	4,626
Awards	Research	1	600	0	-	1	600	0	-
	Other	4	323,175	1	4,000	6	800,413	1	4,000
	Instruction	0	-	0	-	1	5,000	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	5	323,775	1	4,000	8	806,013	1	4,000
VP FOR ADMINISTRATIVE SERVICES*									
Proposals**		0	-	1	6,260	0	-	1	6,260
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	0	-	0	-	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	0	-	0	-	0	-	0	-
VP FOR HEALTH SCIENCES*									
Proposals**		1	145,563	1	100,000	5	28,205,345	3	10,087,858
Awards	Research	10	656,536	31	18,401,396	79	30,055,472	32	18,680,977
	Other	0	-	0	-	0	-	1	1,913
	Instruction	0	-	0	-	1	36,000	0	-
	Clinical	0	-	0	-	1	82,500	1	167,666
	Totals	10	656,536	31	18,401,396	81	30,173,972	34	18,850,556

		AUGUST 1999		AUGUST 1998		FISCAL YEAR TO DATE (7/99-8/99)		FISCAL YEAR TO DATE (7/98-8/98)	
		<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>	<u>No.</u>	<u>Total Amt.</u>
VP FOR RESEARCH*									
Proposals**		0	-	1	3,020	1	6,000	2	5,936
Awards	Research	0	-	0	-	0	-	0	-
	Other	0	-	2	13,019	0	-	3	15,935
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	1	7,419
	Totals	0	-	2	13,019	0	-	4	23,354
VP FOR STUDENT AFFAIRS & SERVICES*									
Proposals**		0	-	1	5,000	1	25,500	2	13,000
Awards	Research	0	-	0	-	0	-	0	-
	Other	1	9,000	0	-	1	9,000	0	-
	Instruction	1	228,990	1	5,000	1	228,990	1	5,000
	Clinical	0	-	0	-	0	-	0	-
	Totals	2	237,990	1	5,000	2	237,990	1	5,000
VP FOR UNIVERSITY RELATIONS*									
Proposals**		1	323,751	0	-	2	1,060,044	0	-
Awards	Research	0	-	0	-	0	-	0	-
	Other	1	323,751	0	-	2	1,060,043	0	-
	Instruction	0	-	0	-	0	-	0	-
	Clinical	0	-	0	-	0	-	0	-
	Totals	1	323,751	0	-	2	1,060,043	0	-
Totals, ALL PROPOSALS**		79	25,728,345	77	\$13,029,376	197	\$83,196,618	166	57,712,114
Totals, AWARDS		193	\$19,690,891	219	\$32,876,386	439	\$68,750,099	394	\$46,875,296

* Amounts for Vice-Presidents' Offices may reflect activity by Institutes, Centers & other discrete organizational entities that report directly to their cognizant Vice Presidents, rather than to a College or Graduate School.

** Effective May 1, 1998, the Office of Sponsored Projects will exclude non-competing continuation proposals ("continuations") from "proposals" figures, excepting continuation proposals that are negotiated during the life of each award rather than during new proposal negotiations. Also, new and competing continuation proposals will be entered using total period proposed amounts, as opposed to first-period amounts used in previous years (through Fiscal Year 1996-97).

UPDATE, Dec. 1998: Supplemental proposals will also be included in proposal totals; supplemental amounts requested will also reflect total period costs requested.